**Statement of Affairs**

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| --- | --- | --- | --- |
| As at: |  |  |  |
| **First Name:** |  | **Last Name:** |  |
| **Passport No.:** |  | **Place of Issue:** |  |

# Assets

|  |  |  |
| --- | --- | --- |
|  | Value (€) | Held Jointly with Partner/Spouse? |
| Investments in Shares of Listed Companies[[1]](#footnote-1) |  | Yes  No |
| Other Exchange Traded Investments1 |  | Yes  No |
| Investments in Shares of Non-Listed Companies[[2]](#footnote-2) (Refer to Section 1) |  | Yes  No |
| Loans Receivable (Refer to Section 2) |  | Yes  No |
| Immovable Property (Refer to Section 3) |  | Yes  No |
| Vehicles |  | Yes  No |
| Other personal effects |  | Yes  No |
| Cash in hand |  | Yes  No |
| Cash at Bank |  | Yes  No |
| Others |  | Yes  No |
| **Total Assets** |  |  |

# Liabilities

|  |  |  |
| --- | --- | --- |
|  | Value (€) | Held Jointly with Partner/Spouse? |
| Overdraft & Credit Cards Due |  | Yes  No |
| Bank Loans |  | Yes  No |
| Other Loans Payable (Refer to Section 4) |  | Yes  No |
| Income Tax/VAT/NI Contributions Due |  | Yes  No |
| Other Creditors |  | Yes  No |
| Contingent Liabilities[[3]](#footnote-3) (Refer to Section 5) |  | Yes  No |
| **Total Liabilities** |  |  |

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| --- | --- |
| Date of Statement of Affairs Preparation: |  |
| **Full Name of Person Preparing Statement of Affairs:** |  |
| **Professional Capacity of Person Preparing Statement of Affairs:** |  |
| **Signature of Person Preparing Statement of Affairs:** |  |

# Investments in Shares of Non-Listed Companies

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Company Name | Country of Registration | Registration Number | Nature of Business | Percentage Shareholding | Nominal Value of Shares (€) | Net Asset Value of Shares[[4]](#footnote-4) (€) | Amount of Last Dividend Payment (€) | Year of Last Dividend Payment |
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# Loans Receivable

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| --- | --- | --- | --- | --- | --- | --- |
| Borrower Name | Country of Registration / Nationality | Registration Number / Passport Number | Current Loan Balance (€) | Interest Receivable (%) | Maturity Date | Relationship with Borrower |
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# Immovable Property

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| --- | --- | --- | --- | --- | --- | --- | --- |
| Address | Purchase Price (€)  If immovable property was inherited please indicate accordingly | Year of Acquisition /  Inheritance | Method of Finance[[5]](#footnote-5)  (e.g. own funds/bank loan) | Current Market Value (€) | Property Use (e.g. main residence / vacation home /rental property) | Annual Expected Income from property | Share of Ownership (%) |
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# Other Loans Payable

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| --- | --- | --- | --- | --- | --- | --- |
| Name of Lender | Country of Registration / Nationality | Registration Number / Passport Number | Current Loan Balance (€) | Interest Payable (%) | Maturity Date | Relationship with Lender |
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# Court Cases and other Contingent Liabilities

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| --- | --- | --- | --- | --- |
| Nature of liability  (e.g. fine/reimbursement/court case etc) | Timing  Date when liability will possibly be realised | Describe Uncertainties | Describe Assumptions | Projected Amount (€) |
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# Supporting Documentation Enclosed

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# Important Notes

The Authority might request the applicant to sustain individual items on the Statement of Affairs by proper supporting documentation. Such documentation should constitute proof of ownership as well as proof related to the value of the respective assets and liabilities. For the sake of clarity, kindly find below a non-exhaustive list of examples of supporting documentation:

* Tax Returns;
* Audited Financial Statements of non-listed companies[[6]](#footnote-6);
* Bank Statements;
* Property Valuation Reports;
* Share Certificates/Registers[[7]](#footnote-7);
* Wills and Contracts[[8]](#footnote-8);
* Loan agreements8.

All Supporting Documentation is to be submitted in the English language, as certified copies, in line with the Authority’s translation and certification requirements.

# ****Declaration****

I hereby certify that all the information provided and all the statements contained in and attached to this form are, to the best of my knowledge, information and belief, correct, accurate and complete, and that there are no other facts relevant to this form of which the Malta Gaming Authority (the ‘Authority’) should be made aware of.

I understand that the misrepresentation or failure to submit any information requested by the Authority shall be deemed as good and sufficient cause for the refusal to issue the approval and/or authorisation being applied for, or for an eventual revocation of the same if such misrepresentation or failure is discovered at a later stage.

I understand that knowingly making a false, misleading or otherwise incomplete declaration or statement, or otherwise knowingly giving false, misleading or otherwise incomplete information to the Authority constitutes a criminal offence in accordance with article 33 of the Gaming Act (Cap. 583) (the ‘Act’).

I understand that should any of the information provided in relation to this form cease to be correct, or if there are any changes in relation thereto, the Authority shall be informed in accordance with the applicable requirements stemming from the Act and any binding instrument issued thereunder.

I understand that, in accordance with article 7(2)(d) of the Act, the Authority may request any kind of information from any person, as it may in its discretion, consider necessary for the performance of its functions or the fulfilment of the objectives under the Act or any binding instrument issued thereunder.

By signing this declaration, I confirm that I understand that the validity of this form is subject to the terms and conditions included herein and any other conditions that may be imposed by the Authority in relation thereto.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| **Applicant’s Name** |  | **Applicant’s Signature** |  | **Date** |

1. Value should be calculated based on the current market value as at the date of preparation of the Statement of Affairs; [↑](#footnote-ref-1)
2. Value should reflect nominal value of shares held; [↑](#footnote-ref-2)
3. A contingent liability represents a possible obligation depending on whether some uncertain future event occurs, or a present obligation where payment is not probable or the amount cannot be measured reliably (e.g. payment of a sum which is dependent on the outcome of a court case) [↑](#footnote-ref-3)
4. Net Asset Value = (Value of total company assets – Value of total company liabilities) x % of shares held [↑](#footnote-ref-4)
5. Not applicable in the case of inherited immovable property. [↑](#footnote-ref-5)
6. This shall be signed by a warranted accountant and certified as a true copy of the original. Provided that in certain circumstances the Authority may consider unaudited financial statements of non-listed companies as being acceptable. [↑](#footnote-ref-6)
7. This shall be signed by company secretary or director and certified as a true copy of the original. [↑](#footnote-ref-7)
8. This shall be signed and certified as a true copy of the original. [↑](#footnote-ref-8)